

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William E. Munger et al.

Application No. 09/960,706

Filed: September 24, 2001

Art Unit: 1631

Examiner: Marjorie A. Moran

For: Identifying Drugs For and Diagnosis of Benign Prostatic Hyperplasia

Using Gene Expression Profiles

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(d)

Pursuant to 37 C.F.R. 1.56 and 1.97(d), Applicants bring to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, after the mailing of a Final Office Action but before issuance of a Notice of Allowance. Under the provisions of 37 C.F.R. 1.97(d), a fee of \$180.00 as specified by 1.17(p) is required for the filing of this Information Disclosure Statement together with a statement as specified in 37 C.F.R. 1.97(e) which is supplied below. The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0310 for payment of the Information Disclosure Statement fee as set forth on the accompanying transmittal form.

Copies of the listed documents are attached. Documents as through ac were cited in an international search report dated December 10, 2004 which issued in a corresponding International Application, International Application PCT/US02/30182. A copy of the International Search Report is also attached. This Information Disclosure Statement is being filed within three months from the mailing date of the international search report.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the above-listed documents are material or constitute prior art. If the Examiner applies the documents as prior art against any claim in the application and Applicants determine that the cited document does not constitute prior art under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Attorney Docket **044921-5029-01**Application No. **09/960,706**Page 2

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the application and the references therein, should one or more of the documents be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a constructive petition for extension of time in accordance with 37 C.F.R. 1.136(a)(3).

Dated: March 7, 2005
Morgan, Lewis & Bockius LLP
Customer No. 09629
1111 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
202-739-3000

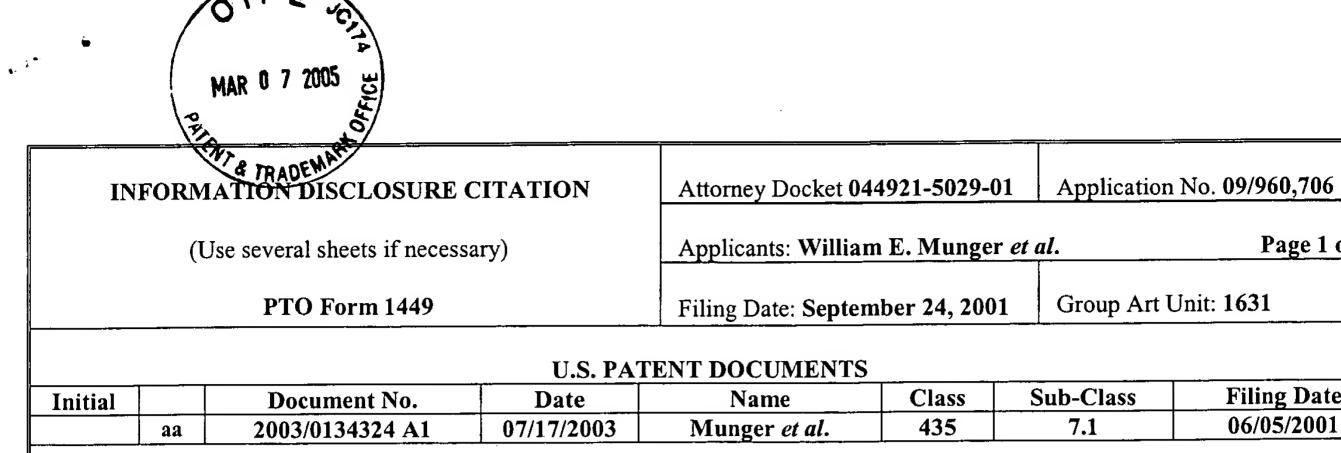
Respectfully submitted

Morgan, Lewis & Bockius LLP

when Ingt

Robert Smyth

Registration No. 50,801



			U.S. PAT	TENT DOCUMENTS				
Initial		Document No.	Date	Name	Class	Sub-Class	Filing Date	
-	aa	2003/0134324 A1	07/17/2003	Munger et al.	435	7.1	06/05/2001	
			FOREIGN F	PATENT DOCUMEN	NTS			
		Document No.	Date	Country	Class	Sub-Class	Translation	
				ing Author, Title, Da				
	ab	Elsasser-Beile et al., Co						
		of Patients with Adenocarcinomas and Benign Hyperplasia of the Prostate, (2000) The Prostate, 45:1-7						
-	ac	Shidaifat et al., Gossyp	ol Arrests Human	Benign Prostatic Hy	yperplastic C	Cell Growth at GO	/G1 Phase of the	
		Cell Cycle, (1997) Anti-						
Examine	r			Date Considered				

Page 1 of 1

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

•	/0		40
	(M	4R 0 7	2005
Please type a plus sign (+) inside this box	+		4

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are reduced to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 Sheet of |

Complete if Known					
Application Number	09/960,706				
Filing Date	9/24/2001				
First Named Inventor	William MUNGER				
Group Art Unit	1631				
Examiner Name	Moran, M.				
Attorney Docket Number	44921-5029-01-US				

U.S. PATENT DOCUMENTS						
Examiner Initials *		U.S. Patent Document	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
	Cite No.1	Number Kind Code ² (if known)				
		5,763,429	Bishop et al.	6/9/1998		
······································		5,882,864	An et al.	3/16/1999		

				FOREIGI	N PATENT DOCU	MENTS .	,	
Examiner Initials*	Cite No.1	For Office ³	eign Patent D	ocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
				(II KIIOWII)			rigures Appear	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2				
		Bubendorf, L et al. Survey of gene amplifications during prostate cancer progression by high-throughput fluorescence in situ hybridization on tissue microarrays. (1999) Cancer Research 59:803-806.					
		Bubendorf, L et al. Hormone therapy failure in human prostate cancer: analysis by complementary DNA and tissue microarrays. (1999) J. Nat. Cancer Inst. 91(20):1758-1764.					
,-,							

Examiner	Date
Signature	Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

MAR [7 2005 RAOF Briney Docket No. 14921-5029-01 Application No. 09/960,706 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Applicants: William MUNGER et al. PAGE 1 of 1 **PTO Form 1449** Group Art Unit: Unassigned Filing Date: September 24, 2001 U.S. PATENT DOCUMENTS Filing Date Sub Name Class Date Examiner Document Class Number Initial **FOREIGN PATENT DOCUMENTS** Sub **Translation** Country . Class Document Date **YES** NO Class Number OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Brinkman, U. et al. PAGE-1 AN X CHROMOSOME-LINKED GAGE-LIKE GENE THAT IS EXPRESSED IN NORMAL AND NEOPLASTIC PROSTATE, TESTIS, AND UTERUS (1998) Proc. Natl. Acad. Sci. (USA) 96:20767-20762 Brinkman, U. et al. NOVEL GENES IN THE PAGE AND GAGE FAMILY OF TUMOR ANTIGENS FOUND BY HOMOLOGY WALKING IN THE dbest database. (1999) Cancer Res. 59, 1445-148. Isomura, M. et al. ISOLATION AND MAPPING OF RAB2L, A HUMAN CDNA THAT ENCODES A PROTEIN HOMOLOGOUS TO RALGDS. (1996) Cyogenet. Cell Genet. 74, 263-265. Jacob, K. et al. OSTEONECTIN PROMOTES PROSTATE CANCER CELL MIGRATION AND INVASION: A POSSIBLE MECHANISM FOR METASTASIS TO BONE (1999) Cancer Res. 59:4453-4457 Nollet, F. et al. PHYLOGENETIC ANALYSIS OF THE CADHERIN SUPERFAMILY ALLOWS IDENTIFIDATION OF SIX MAJOR SUBFAMILIES BESIDES SEVERAL SOLITARY MEMBERS (2000) J. Mol. Bio. 299, 51-572 Seto, M.H. et al. Profold analysis of the B30.2-like domain (1999) Proteins 35:235-49 Thomas, R. et al. DIFFERENTIAL EXPRESISON OF OSTEONECTIN/SPARC DURING HUMAN PROSTATE CANCER PROGRESSION (2000) Clin. Cancer Res. 6:1140-1149 **Date Considered** Examiner Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if Examiner: not in conformance and not considered. Include copy of this form with next communication to applicant.